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PTO/SB/01 (12-97)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)	Attorney Docket Number	00399-12
	First Named Inventor	MACDONALD, Timothy L.
	COMPLETE IF KNOWN	
	Application Number	
	Filing Date	August 9, 2001
	Group Art Unit	
<input checked="" type="checkbox"/> Declaration Submitted with Initial Filing	OR	<input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)
Examiner Name		

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

FELBAMATE DERIVED COMPOUNDS

the specification of which (Title of the Invention)

☒ is attached hereto
OR

☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 356(b) of any foreign application(s) for patent or inventor's certificate, or 356(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/119,254	02/09/1999	
60/136,881	06/01/1999	
60/137,204	06/02/1999	

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
PCT/US00/03147	February 8, 2000	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02C attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

OR

☒ Registered practitioner(s) name/registration number listed below

Place Customer Number Bar Code Label here

Name	Registration Number	Name	Registration Number
John P. Breen	38,833		
Robert S. MacWright	32,425		
Robert J. Decker	44,056		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number or Bar Code Label

OR ☒ Correspondence address below

Name	John P. Breen, University of Virginia Patent Foundation				
Address	1224 West Main Street, Suite 1-110				
Address					
City	Charlottesville	State	VA	ZIP	22903
Country	US	Telephone	434-243-6103	Fax	434-924-2493

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))		Family Name or Surname	
Timothy L.		MACDONALD	
Inventor's Signature	<i>Timothy L. MacDonald</i>		Date
Residence: City	Charlottesville	State	VA
		Country	US
Post Office Address	2625 Jefferson Park Avenue		
Post Office Address			
City	Charlottesville	State	VA
		ZIP	22903
		Country	US

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

Please type a plus sign (+) inside this box → ☐

PTO/SB/02A (11-00)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1 of 1

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Thomas A.		MILLER	
Inventor's Signature		Date	
Residence: City New York	State NY	Country US	Citizenship US
Mailing Address 3000 Broadway			
Mailing Address Hayermeyer Hall			
City New York	State NY	ZIP 10027	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Charles D.		THOMPSON	
Inventor's Signature		Date	
Residence: City Belmont	State	Country US	Citizenship US
Mailing Address 40 Dartmouth Street			
Mailing Address			
City Watertown	State MA	ZIP 02472	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Christine		DIEKHAUS	
Inventor's Signature		Date	
Residence: City North Wales	State PA	Country US	Citizenship US
Mailing Address 113 Hampton Green Drive			
Mailing Address			
City North Wales	State PA	ZIP 19454	Country US

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

ASSIGNMENT

WHEREAS, we **Timothy L. Macdonald, Thomas A. Miller, Charles D. Thompson and Christine M. Dieckhaus** (the "Assignors"), have been named inventors in an invention entitled

FELBAMATE DERIVED COMPOUNDS

described in U.S. Utility Patent Application Serial No. _____, filed August 9, 2001; and

WHEREAS, the **University of Virginia**, 314 Madison Hall, P.O. Box 400301, Charlottesville, Virginia 22904-4301 (the "Assignee"), is desirous of acquiring the entire right, title and interest in and to the aforesaid invention, including any tangible materials embodied in or encompassed by the invention and any trade secrets pertaining to the invention, and any improvements thereon, (the "Invention") and in and to said applications for Letters Patent thereon in the United States, its territories and possessions ("United States") and all foreign countries, including rights to claim priority, to any provisional applications, and in and to any Letters Patent of the United States or any foreign country which may be granted therefor, including any and all reissues, divisions, continuations, continuations-in-part, renewals, substitutes or extensions thereof (the "Rights");

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and conveyed, and does hereby sell, assign, transfer and convey to Assignee, its successors and assigns, the entire right, title and interest in and to the aforesaid Invention and Rights, except that Assignee hereby grants back to the Assignors a royalty free non-transferrable license to make and use the Invention under the Rights for educational and research purposes, only. The aforesaid assignment includes the right in and to all income, royalties, damages and payments now or hereafter due or payable with respect to any Letters Patent which may be granted, and in and to all causes of action (either in law or in equity), and the right to sue, counterclaim, and recover for past, present and future infringement of the rights assigned or to be assigned under this Assignment, as fully and entirely as the same would have been held and enjoyed by Assignors if this sale and assignment had not been made;

AND Assignors hereby authorize and request the appropriate governmental officials to issue any and all such United States or foreign Letters Patent under said invention, or resulting from any of said applications thereof, to the Assignee, as the assignee of the entire right, title and interest in and to the same;

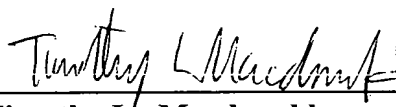
AND Assignors hereby represent, warrant and covenant that they have the full right to convey the entire interest herein assigned, that they have not executed and will not execute

AND Assignors further covenant and agree that Assignors will at any time upon request make, execute and deliver without further compensation, any and all other instruments in writing, including further applications, papers, affidavits, power of attorney, assignments, and other documents, and do all lawful acts and things, which, in the opinion of counsel for said Assignee, its successors and assigns, may in any country be required or necessary more effectively to secure to and vest in said Assignee, its successors and assigns the entire right, title and interest in and to said Invention and Rights hereby sold, assigned, transferred and conveyed, and that Assignors will sign any applications for reissue, division, continuation, continuation-in-part, renewal, substitute or extension of said application for Letters Patent or any resulting Letters Patent;

AND Assignors further covenant and agree that Assignors will at any time upon request communicate to the Assignee, its successors, assigns or other legal representatives any facts relating to the aforesaid invention known to it, and will testify as to the same in any interference, litigation, mediation, arbitration or other proceeding when requested to do so.

IN WITNESS WHEREOF, Assignors have hereunto set their hands and seals.

Signature of Inventor / Date of Execution:
Inventor's Name:
Inventor's Resident Address:

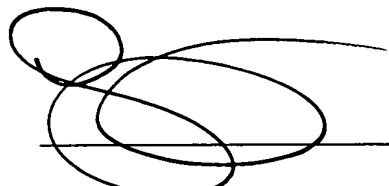

Timothy L. Macdonald
2625 Jefferson Park Avenue
Charlottesville, VA 22903

Place of Execution:

City of Charlottesville, Virginia

COUNTY / CITY OF)
Albemarle) ss:
COMMONWEALTH OF VIRGINIA)

On this 31 day of August, 2001, before me, a Notary Public in and for the County/City aforesaid in the Commonwealth of Virginia, personally appeared Timothy L. Macdonald, to me known as the individual of that name, who executed the foregoing instrument and acknowledged the same to be of his own free will for the purposes therein set forth.



Laura Matheny

[signature, please print name below]

_____, Notary Public, my commission expires on: 07-31-01

Signature of Inventor / Date of Execution:

Inventor's Name:

Inventor's Resident Address:

Thomas A. Miller 20 Aug 01
Thomas A. Miller
3000 Broadway, Hayermeyer hall
New York, NY 10027

Place of Execution:

COUNTY / CITY OF)

) SS:

STATE OF)

Edna Velez
EDNA VEELEZ
Notary Public, State of New York
No. 60-4988550
Qualified in Westchester County
Commission Expires 11/12/01

On this 20th day of August, 2001, before me, a Notary Public in and for the County/City and State aforesaid, personally appeared Thomas A. Miller, to me known as the individual of that name, who executed the foregoing instrument and acknowledged the same to be of his own free will for the purposes therein set forth.

Edna Velez [signature, please print name below]

Edna Velez, Notary Public, my commission expires on: 11/12/01

Signature of Inventor / Date of Execution:

Inventor's Name:

Inventor's Resident Address:

Charles D. Thompson
40 Dartmouth Street
Watertown, MA 02472

Place of Execution:

COUNTY / CITY OF)

) SS:

STATE OF)

On this _____ day of _____, 2001, before me, a Notary Public in and for the County/City and State aforesaid, personally appeared Charles D. Thompson, to me known as the individual of that name, who executed the foregoing instrument and acknowledged the same to be of his own free will for the purposes therein set forth.

_____ [signature, please print name below]

_____, Notary Public, my commission expires on: _____

Signature of Inventor / Date of Execution:

Inventor's Name:

Inventor's Resident Address:

Thomas A. Miller

3000 Broadway, Hayermeyer hall
New York, NY 10027

Place of Execution:

COUNTY / CITY OF

)

) ss:

STATE OF

)

On this _____ day of _____, 2001, before me, a Notary Public in and for the County/City and State aforesaid, personally appeared Thomas A. Miller, to me known as the individual of that name, who executed the foregoing instrument and acknowledged the same to be of his own free will for the purposes therein set forth.

_____[signature, please print name below]

_____, Notary Public, my commission expires on: _____

Signature of Inventor / Date of Execution:

Inventor's Name:

Inventor's Resident Address:

Charles D. Thompson 9/24/01

Charles D. Thompson

22 Partridge Lane
Stow, MA 01775

Place of Execution:

COUNTY / CITY OF

)

) ss:

STATE OF

)

On this 24 day of Sept., 2001, before me, a Notary Public in and for the County/City and State aforesaid, personally appeared Charles D. Thompson, to me known as the individual of that name, who executed the foregoing instrument and acknowledged the same to be of his own free will for the purposes therein set forth.

Lena M. Baker [signature, please print name below]
Lena M. Baker

_____, Notary Public, my commission expires on: March 31, 2006

Signature of Inventor / Date of Execution:

Inventor's Name:

Inventor's Resident Address:

Christine M. Dieckhaus 2 Jan 2002
Christine M. Dieckhaus
113 Hampton Green Drive
North Wales, PA 19454

Place of Execution:

Accent Notary 420 N Broad St Lansdale, PA 19446

COUNTY / ~~CITY~~ OF Montgomery)
) ss:
STATE OF Pennsylvania)

On this 2nd day of January, 200², before me, a Notary Public in and for the County/City and State aforesaid, personally appeared Christine Dieckhaus, to me known as the individual of that name, who executed the foregoing instrument and acknowledged the same to be of her own free will for the purposes therein set forth.

Carole L. Maioriello [signature, please print name below]

Carole L. Maioriello, Notary Public, my commission expires on: June 6, 2005

Notarial Seal
Carole L. Maioriello, Notary Public
Lansdale Boro, Montgomery County
My Commission Expires June 6, 2005

ASSIGNMENT

WHEREAS, the **University of Virginia**, 314 Madison Hall, P.O. Box 400301, Charlottesville, Virginia 22904-4301 (the "Assignor") possesses the right, title and interest for and in an invention entitled

FELBAMATE DERIVED COMPOUNDS

described in U.S. Utility Patent Application Serial No. 09/925,224 , filed August 9, 2001; and

WHEREAS, the **University of Virginia Patent Foundation**, a non-profit organization having a place of business at 1224 West Main Street, Suite 1-110, Charlottesville, Virginia 22903 (the "Assignee") is desirous of acquiring the entire right, title and interest in and to the aforesaid invention, including any tangible materials embodied in or encompassed by the invention and any trade secrets pertaining to the invention, and any improvements thereon, (the "Invention") and in and to said applications for Letters Patent thereon in the United States, its territories and possessions ("United States") and all foreign countries, including rights to claim priority, to any provisional applications, and in and to any Letters Patent of the United States or any foreign country which may be granted therefor, including any and all reissues, divisions, continuations, continuations-in-part, renewals, substitutes or extensions thereof (the "Rights");

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, Assignor has sold, assigned, transferred and conveyed, and does hereby sell, assign, transfer and convey to Assignee, its successors and assigns, the entire right, title and interest in and to the aforesaid Invention and Rights, except that Assignee hereby grants back to the Assignor a royalty free non-transferrable license to make and use the Invention under the Rights for educational and research purposes, only. The aforesaid assignment includes the right in and to all income, royalties, damages and payments now or hereafter due or payable with respect to any Letters Patent which may be granted, and in and to all causes of action (either in law or in equity), and the right to sue, counterclaim, and recover for past, present and future infringement of the rights assigned or to be assigned under this Assignment, as fully and entirely as the same would have been held and enjoyed by Assignor if this sale and assignment had not been made;

AND Assignor hereby authorizes and requests the appropriate governmental officials to issue any and all such United States or foreign Letters Patent under said invention, or resulting from any of said applications thereof, to the Assignee, as the assignee of the entire right, title and interest in and to the same;

AND Assignor hereby represents, warrants and covenants that it has the full right to convey the entire interest herein assigned, that it has not executed and will not execute any instrument or assignment in conflict herewith, and that the rights assigned herein are not otherwise encumbered by any grant, license or right;

AND Assignor further covenants and agrees that Assignors will at any time upon request make, execute and deliver without further compensation, any and all other instruments in writing, including further applications, papers, affidavits, power of attorney, assignments, and other documents, and do all lawful acts and things, which, in the opinion of counsel for said Assignee, its successors and assigns, may in any country be required or necessary more effectively to secure to and vest in said Assignee, its successors and assigns the entire right, title and interest in and to said Invention and Rights hereby sold, assigned, transferred and conveyed, and that Assignor will sign any applications for reissue, division, continuation, continuation-in-part, renewal, substitute or extension of said application for Letters Patent or any resulting Letters Patent;

AND Assignor further covenants and agrees that Assignor will at any time upon request communicate to the Assignee, its successors, assigns or other legal representatives any facts relating to the aforesaid invention known to it, and will testify as to the same in any interference, litigation, mediation, arbitration or other proceeding when requested to do so.

IN WITNESS WHEREOF, said Assignor has hereunto set its hand and seal.

Signature of
Representative for Assignor:

Carl Krich

David J. Hudson

Representative's Title:

Associate Vice President for Research
and Public Service, University of Virginia

Place of Execution:

Charlottesville, Virginia

COUNTY OF ALBEMARLE)
) ss:
COMMONWEALTH OF VIRGINIA)

On this 23 day of January, 2002, before me, a Notary Public in and for the County of Albemarle in the Commonwealth of Virginia, personally appeared David J. Hudson, to me known as the individual of that name, who executed the foregoing instrument and acknowledged the same to be of his own free will for the purposes therein set forth.

Notary Public

Notary Public

My commission expires: 7-31-03